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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/642,492	
	<b>Filing Date</b>	August 18, 2000	
	<b>First Named Inventor</b>	Gary VAN NEST et al.	
	<b>Group Art Unit</b>	1648	
	<b>Examiner Name</b>	S. Foley	
<b>Total Number Of Pages In This Submission</b>	29 + 203 REFS.	<b>Attorney Docket No.</b>	377882000800

**ENCLOSURES (check all that apply)**

- |  |   |  |
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| <input type="checkbox"/> Fee Transmittal Form plus copy for fee processing (2 pages) | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
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| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
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| <input type="checkbox"/> Express Abandonment Request                                 | <input type="checkbox"/> Terminal Disclaimer  | 1. Form PTO-1449 (11 pages)  |
| <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages)       | <input type="checkbox"/> Request for Refund   | 2. 203 references (plus copy of 1449 - 11 pages)   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                      | <input type="checkbox"/> CD, Number of CD(s) _____                                      | 3. International Search Report (3 pages)   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application           |   | 4. Return Receipt Postcard   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         |   |  |

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual Name</b>	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018
<b>Signature</b>	<i>Karen R. Zachow</i>
<b>Date</b>	May 10, 2001

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

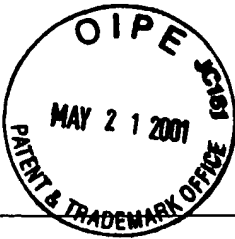
I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 10, 2001.

Date *May 10, 2001*

*Hazel M. Raskowitz*  
Hazel M. Raskowitz

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pa-589679



PATENT  
Docket No. 377882000800

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*Hazel M. Raskowitz*  
Hazel M. Raskowitz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Gary VAN NEST et al.

Serial No.: 09/642,492

Filing Date: August 18, 2000

For: METHODS OF MODULATING AN  
IMMUNE RESPONSE USING  
IMMUNOSTIMULATORY  
SEQUENCES AND COMPOSITIONS  
FOR USE THEREIN

Examiner: S. Foley

Group Art Unit: 1648

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents numbered 25, 31, 37, 96, and 112 on the attached Form PTO-1449 were cited in a Search Report (copy attached) mailed on February 26, 2001 directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 377882000800. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 10, 2001

Respectfully submitted,

By: Karen R. Zachow  
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